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Due Date: January 3, 2003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Lawrence Yium-chee Chiu et al.  
Serial No.: 09/755,858  
Filed: January 5, 2001  
Title: METHOD AND APPARATUS FOR SUPPORTING PARITY PROTECTION IN A RAID CLUSTERED ENVIRONMENT

Examiner: Kimberly N. Mayo  
Group Art Unit: 2187  
Docket: ARC920000054US1

CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 CFR 1.8

I hereby certify that this correspondence is being filed via facsimile transmission to the U.S. Patent and Trademark Office on December 30, 2002.

By: [Signature]  
Name: George H. Gates

AMENDMENT UNDER 37 C.F.R. §1.111

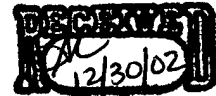
Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In response to the Office Action dated October 3, 2002, please amend the above-identified application as follows. Please note that, in compliance with the rules under 37 C.F.R. § 1.121, the amended claims are presented in "clean" form with marked-up versions provided in the Appendix.

**Gates & Cooper** LLP

Howard Hughes Center  
6701 Center Drive West, Suite 1050  
Los Angeles, California 90045

**Official****FAX TRANSMISSION TO USPTO**

TO: Commissioner for Patents  
Attn: Examiner Kimberly N. Mayo  
Patent Examining Corps  
Facsimile Center  
Washington, D.C. 20231

FROM: George H. Gates  
OUR REF.: ARC920000054US1  
TELEPHONE: (310) 642-4146

Total pages, including cover letter: 15

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If you do NOT receive all of the pages, please telephone us at (310) 641-8797, or fax us at (310) 641-8798.

Title of Document Transmitted:	AMENDMENT UNDER 37 CFR 1.111
Applicant:	Lawrence Yium-chee Chiu et al.
Serial No.:	09/755,858
Filed:	January 5, 2001
Group Art Unit:	2187
Our Ref. No.:	ARC920000054US1

By: 

Name: George H. Gates  
Reg. No.: 33,500

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Dec. 30, 2002  
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G&amp;C 30879.80-US-01

Due Date: January 3, 2003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Lawrence Yium-chee Chiu et al. Examiner: Kimberly N. Mayo  
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By: GHG  
 Name: George H. Gates

Box NON-FEE AMENDMENT  
 Commissioner for Patents  
 Washington, D.C. 20231

Dear Sir:

We are transmitting herewith the attached:

- ☒ Transmittal sheet, in duplicate, containing a Certificate of Mailing or Transmission under 37 CFR 1.8.  
☒ Amendment under 37 C.F.R. 1.111.

**CLAIMS PRESENT**

Claims Remaining:	Highest Number Previously Paid For:	Number Extra	Rate	Fee
Total Claims				
21	24	0	x \$18.00	= \$0.00
Independent Claims				
3	3	0	x \$84.00	= \$0.00
MULTIPLE DEPENDENT CLAIM FEE				\$0.00
TOTAL FILING FEE				\$0.00

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers, if appropriate.

Please charge all fees to Deposit Account No. 09-0441 of IBM Corporation, the assignee of the present application. A duplicate of this paper is enclosed.

**GATES & COOPER LLP**  
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 6701 Center Drive West, Suite 1050  
 Los Angeles, CA 90045  
 (310) 641-8797

By: GHG  
 Name: George H. Gates  
 Reg. No.: 33,500  
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G&amp;C 30879.80-US-01

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